

Satellite-Based Estimation of Convective and Large-Scale Mass Fluxes: New Diagnostics for Evaluating GCM Cumulus Parameterization

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**Determination of Bulk Properties of Tropical Cloud Clusters from
Large-Scale Heat and Moisture Budgets**

MICHIO YANAI, STEVEN ESBENSEN AND JAN-HWA CHU

Dept. of Meteorology, University of California, Los Angeles 90024

(Manuscript received 29 December 1972)

Yanai et al. 1973

**Interaction of a Cumulus Cloud Ensemble with the
Large-Scale Environment, Part I**

AKIO ARAKAWA AND WAYNE HOWARD SCHUBERT¹

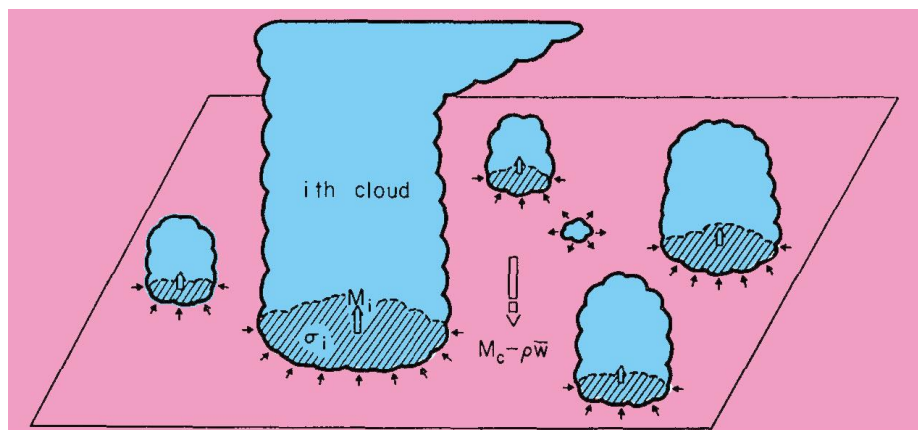
Dept. of Meteorology, University of California, Los Angeles 90024

(Manuscript received 10 August 1973, in revised form 7 November 1973)

Arakawa and Schubert 1974



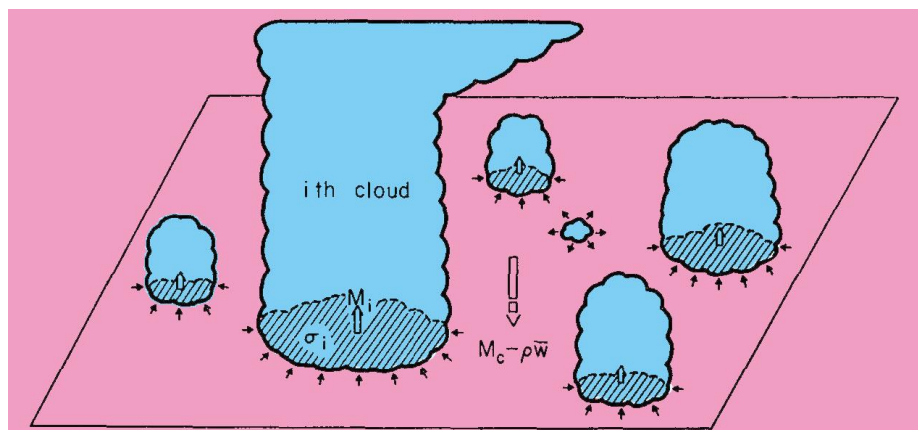
These seminal papers in 1970s defined the framework
of GCM cumulus parameterizations that most of us
still use today - **mass flux-based formulation.**



Total large-scale
mass flux

Convective
mass flux

$$\bar{M} = M_c + \bar{M},$$



Total large-scale
mass flux

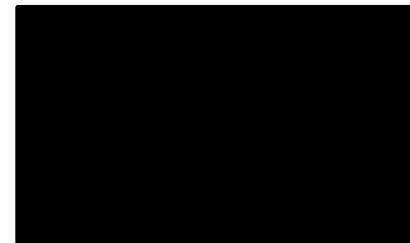
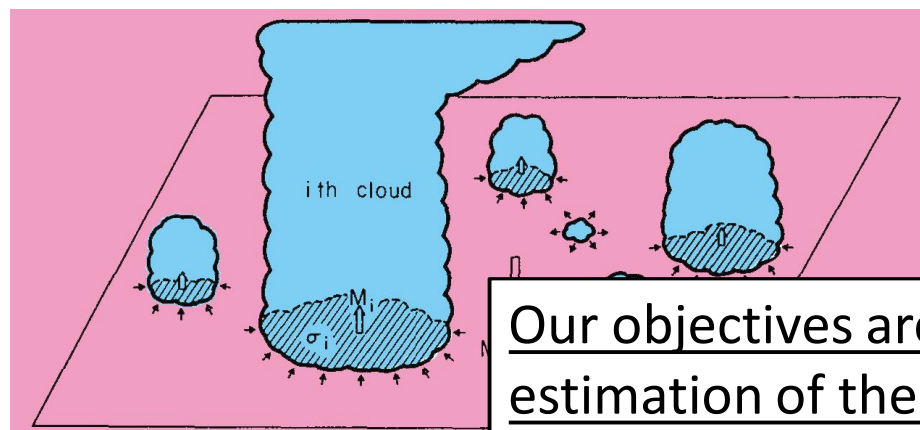
Convective
mass flux

$$\bar{M} = M_c + \bar{M},$$

$$M_c = -\sigma \omega_c$$

Coverage of
convective cores

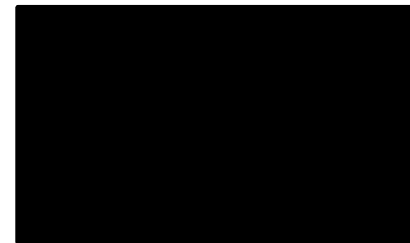
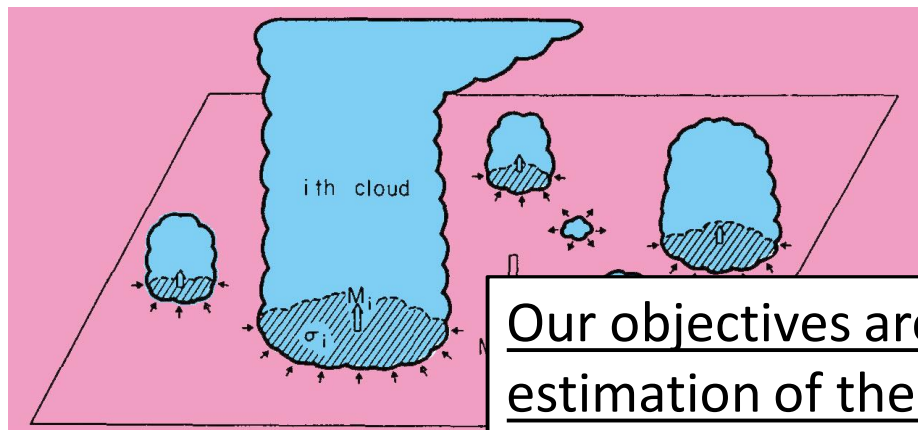
Vertical
velocity



Our objectives are to 1) present a satellite-based estimation of these mass fluxes, and 2) project them onto a meaningful envelop of dynamic context

Outlines

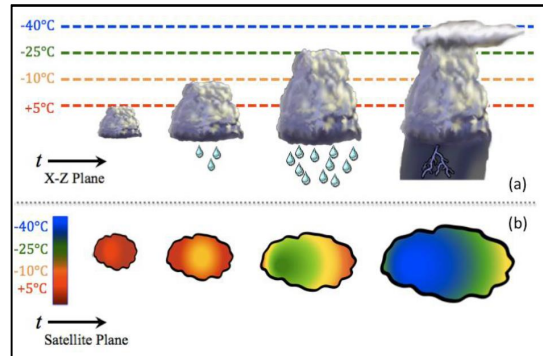
1. Estimation of \dot{M}_c : A-Train observations + 1D plume model
2. Estimation of \overline{M} (Masunaga & L'Ecuyer 2014)
3. Projecting mass fluxes unto a composite convective life cycle
4. Summary & future plans



Our objectives are to 1) present a satellite-based estimation of these mass fluxes, and 2) project them onto a meaningful envelop of dynamic context

A-Train data provide pieces for putting together the whole
“jigsaw puzzle” of M_c profile

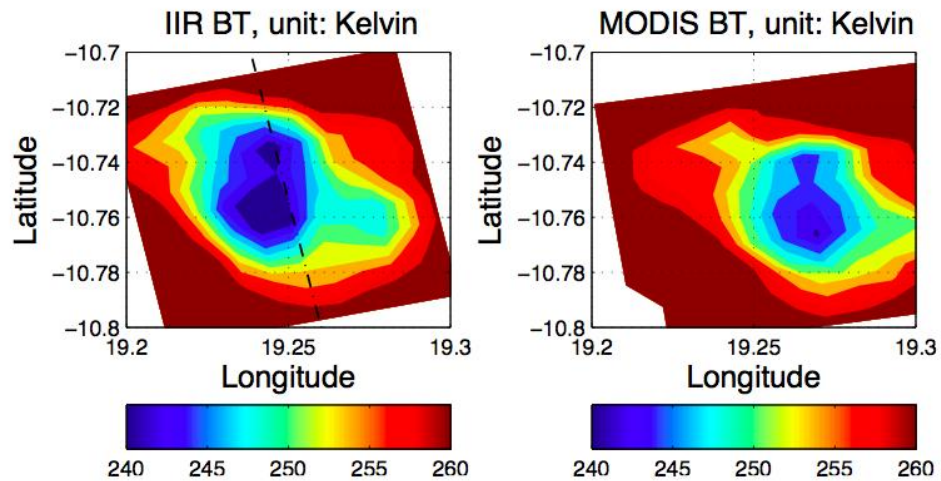
A-Train data provide pieces for putting together the whole
“jigsaw puzzle” of M_c profile



Cloud-top w

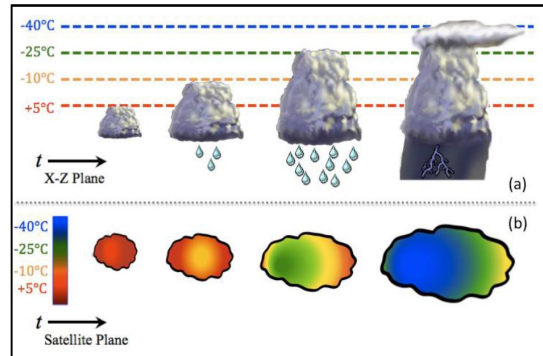
$$w = \left(\frac{\partial T}{\partial z} \right)^{-1} \frac{dT_{BB}}{dt}$$

← ~ 2 min →



Luo et al. (2014)

A-Train data provide pieces for putting together the whole
“jigsaw puzzle” of M_c profile

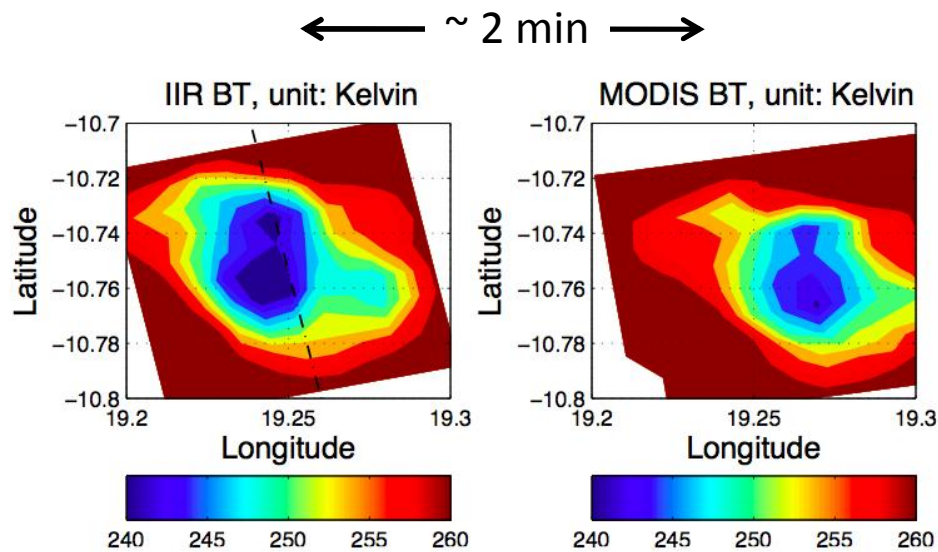


Cloud-top w

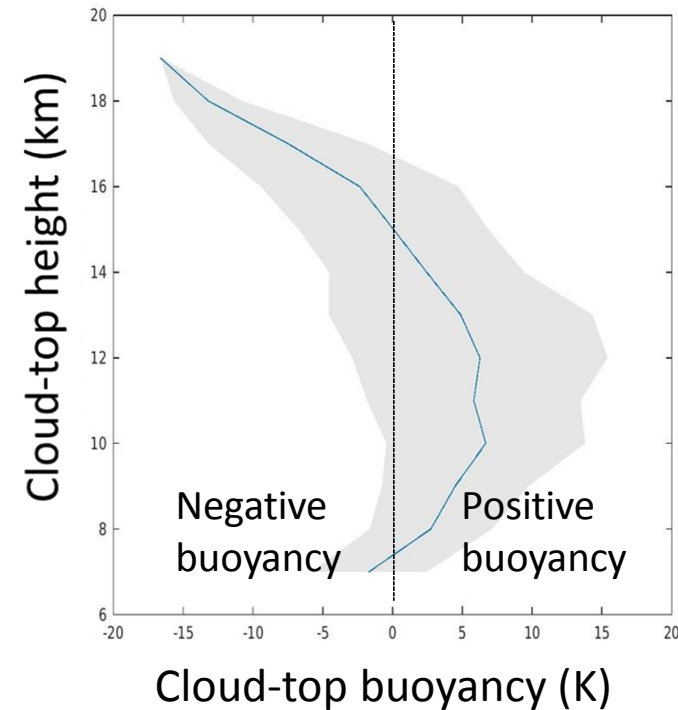
$$w = \left(\frac{\partial T}{\partial z} \right)^{-1} \frac{dT_{BB}}{dt}$$

Cloud-top
buoyancy

$$B = g \frac{T_{parcel} - T_{env}}{T_{env}}$$



Luo et al. (2014)



Luo et al. (2010); Wang et al. (2011)

A single-column plume model

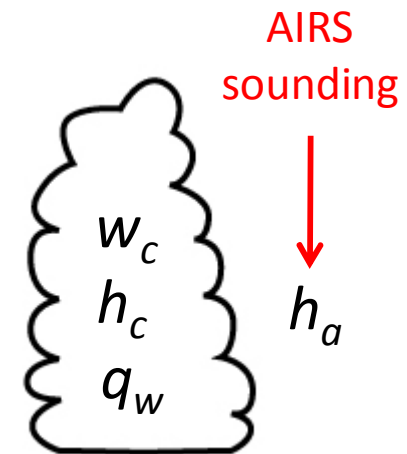
” Basic equations

Basic equations

$$\frac{1}{2} \frac{\partial w_c^2}{\partial z} = a_B B - \epsilon w_c^2 - c_D w_c^2,$$

$$\frac{\partial(h_c - L_i q_i)}{\partial z} = -\epsilon(h_c - L_i q_i - h_a),$$

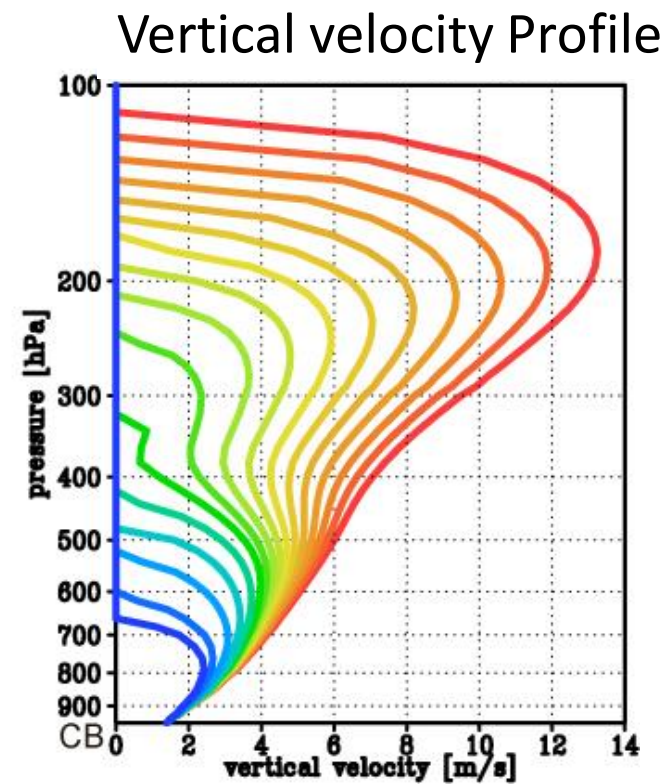
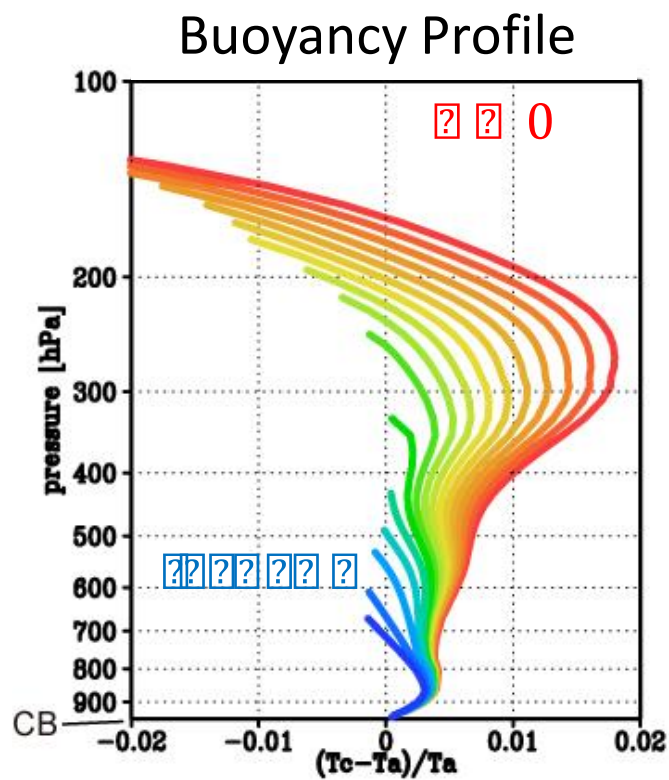
$$\frac{\partial q_w}{\partial z} = -\epsilon q_w + \frac{1}{w_c}(\dot{q}_{\text{cond}} - \dot{q}_{\text{auto}}),$$



As far as w_c is concerned, the most important parameter is the entrainment rate (ϵ). Entraining ambient air will slow down convective updraft.

Masunaga and Luo (2016)

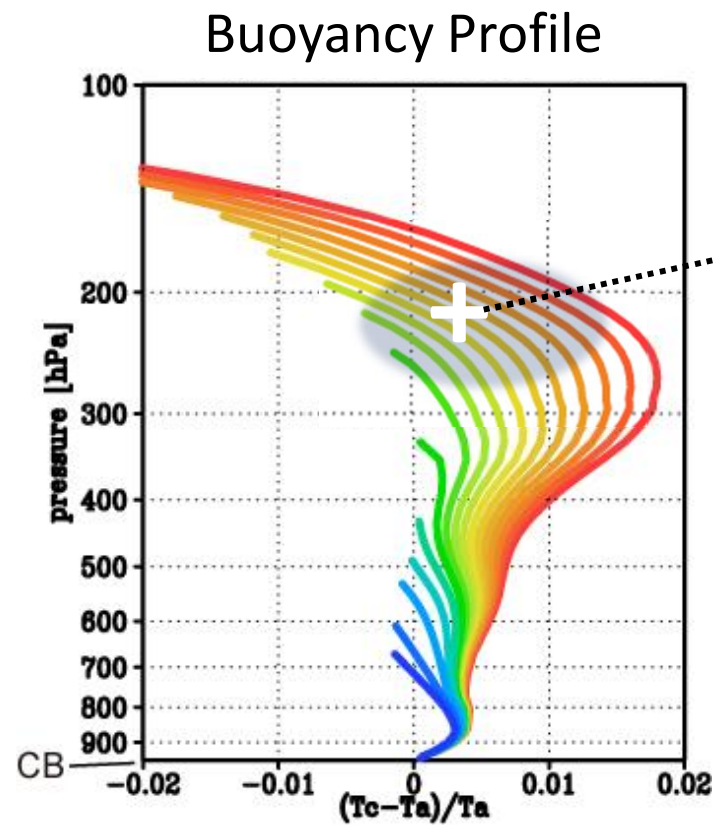
Simulated buoyancy ($\Delta T/T$) and w_c profiles under different ε
 ε : 0 – 0.4/km (red to blue)



Forced by AIRS sounding

Masunaga and Luo (2016)

Observations (cloud-top buoyancy and w_c) are used to constrain different possibilities

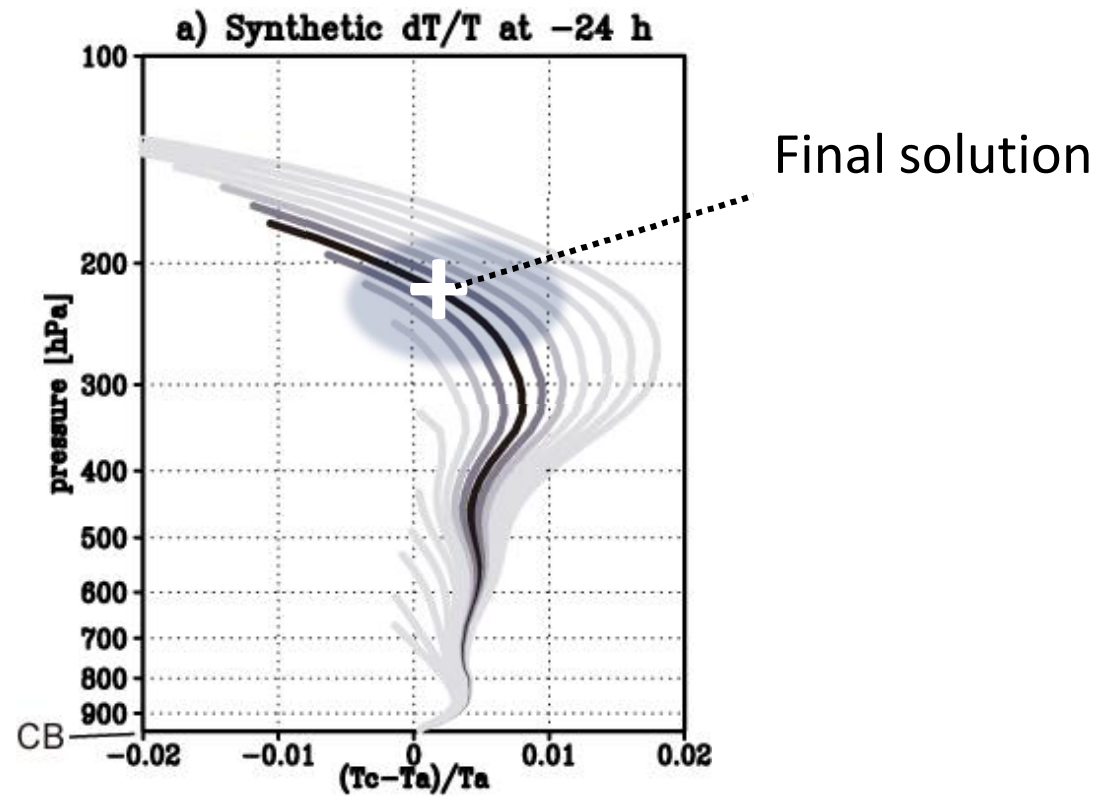


Hundreds of thousands of observed data points are used to weigh these different profiles.

Masunaga and Luo (2016)

Nail down the final solution

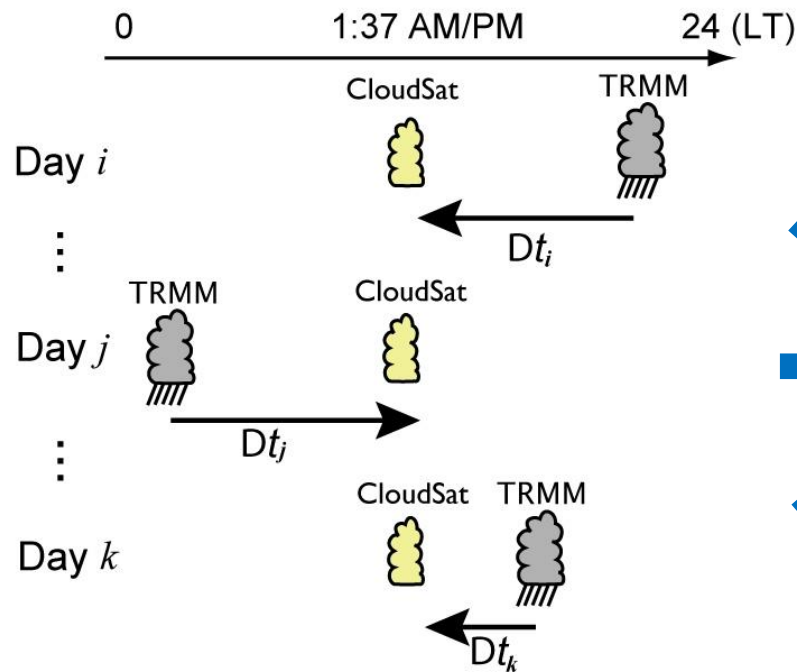
$$\hat{w}_c(z) \equiv \sum_i p(\epsilon_{\text{tur},i} | z_T, \Delta T_T) w_{c,i}(z) = \sum_i p(\epsilon_{\text{tur},i}) p(z_T, \Delta T_T | \epsilon_{\text{tur},i}) w_{c,i}(z),$$



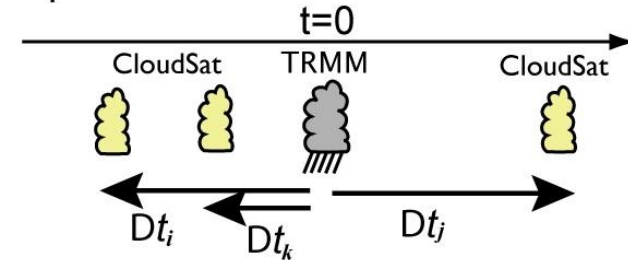
Masunaga and Luo (2016)

Composite Observations w.r.t. Convective Life Stages

a) Instantaneous observations

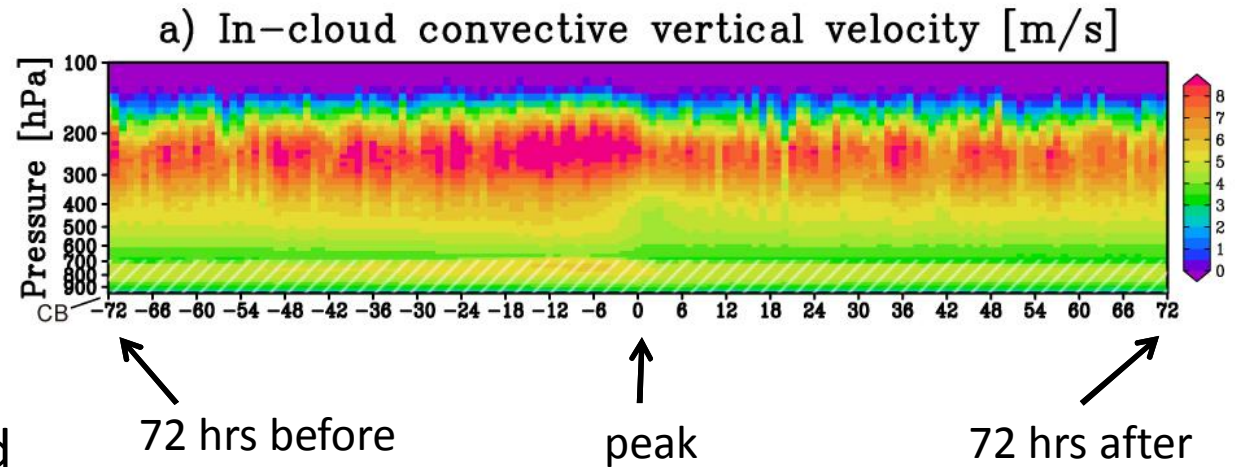


b) Composite time



Masunaga (2012; 2013),
Masunaga and L'Ecuyer (2014)

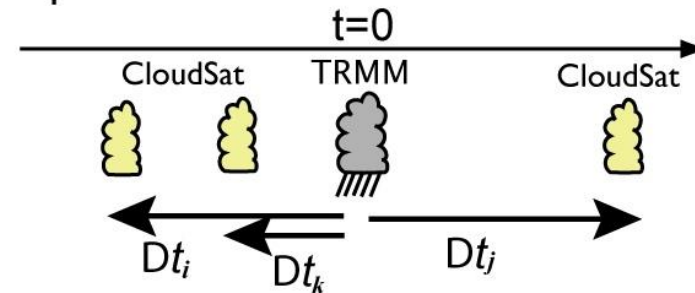
Global tropical (15°S - 15°N) oceans
2 years of Jan 2008 – Dec 2009



W_c peaks at around
300-200 hPa

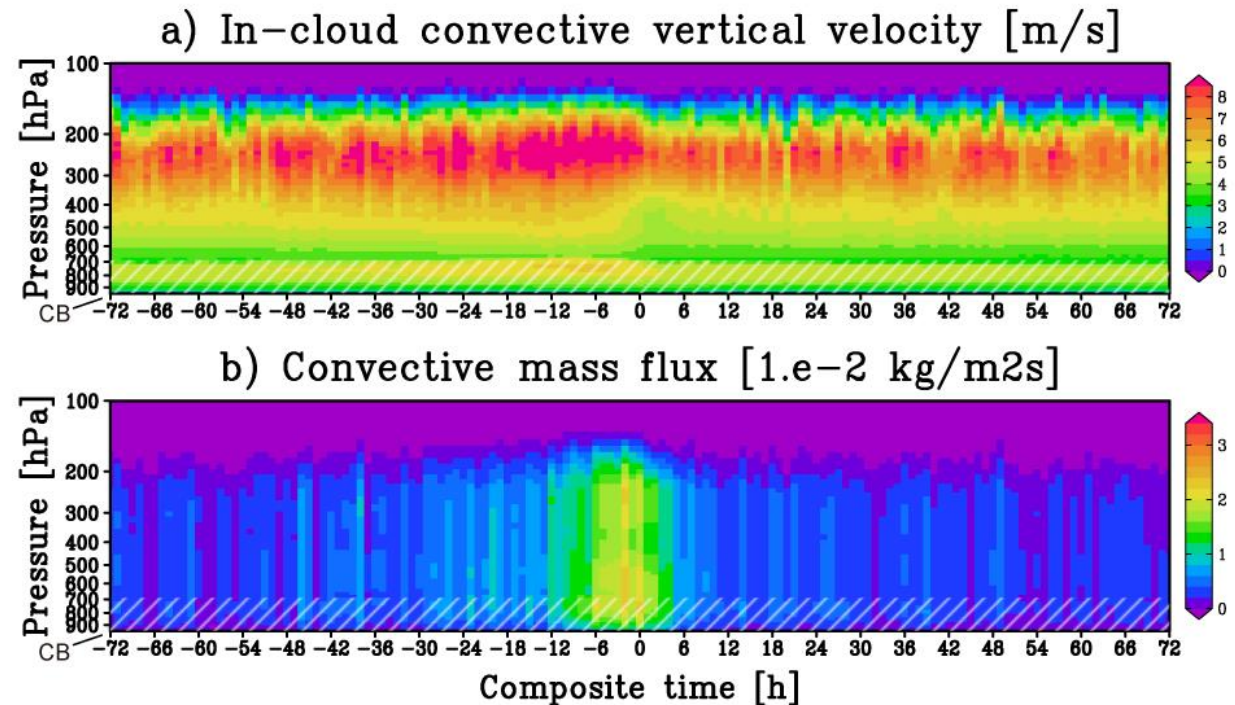
Relatively invariant
over time

b) Composite time



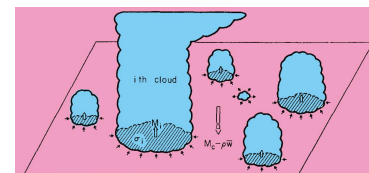
W_c relatively
invariant
over time

M_c follows
convective life
cycle, controlled
by convective
coverage (or
*level of
convective
organization*)




Masunaga and Luo (2016)

$$M_c = \sigma W_c$$



Total large-scale mass flux (\overline{M}) is derived based on thermodynamics budget considerations *independent of M_c estimation*



$$\frac{\partial}{\partial t} \overline{\langle q \rangle} + \boxed{\text{FT moisture convergence}} = E - P_s - \overline{\langle \nabla \cdot q \mathbf{v} \rangle}_{sc}$$

The diagram illustrates the thermodynamic budget for moisture. Above the equation is a schematic of a two-layer atmospheric model, with a light blue upper layer and a dark blue lower layer. The equation itself is contained within a light orange rectangular box. Below the box, blue arrows point from the following labels to the corresponding terms in the equation:

- Obs.** points to $\frac{\partial}{\partial t} \overline{\langle q \rangle}$.
- FT moisture convergence** (in red text) points to the red box representing the moisture convergence term.
- Obs. + Bulk formula** points to E .
- Obs.** points to P_s .
- Obs. from QuikSCAT** points to $\overline{\langle \nabla \cdot q \mathbf{v} \rangle}_{sc}$.

Masunaga (2013); Masunaga and L'Ecuyer (2014)

Estimation of large-scale vertical motion

Moisture Budget

$$\frac{\partial}{\partial t} \overline{\langle q \rangle} + \text{[Red Box]} = E - P_s - \overline{\langle \nabla \cdot q \mathbf{v} \rangle}_{SC}$$
$$\approx -\langle \bar{q} \nabla \cdot \bar{\mathbf{v}} \rangle_{FT} = \text{[Blue Box]}$$

Masunaga (2013); Masunaga and L'Ecuyer (2014)

Estimation of large-scale vertical motion

Moisture Budget

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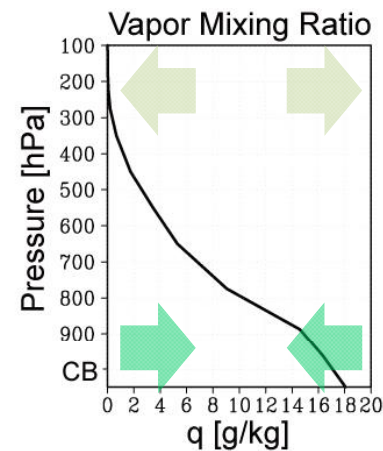
Dry Static Energy (DSE) Budget

$$\frac{\partial}{\partial t} \overline{\langle s \rangle} + \text{[Red Box]} = S + LP_s - \overline{\langle \nabla \cdot s \mathbf{v} \rangle}_{SC} + \overline{\langle Q_R \rangle}$$

$$\approx -\overline{\langle \bar{s} \nabla \cdot \bar{\mathbf{v}} \rangle}_{FT} = \text{[Blue Box]}$$

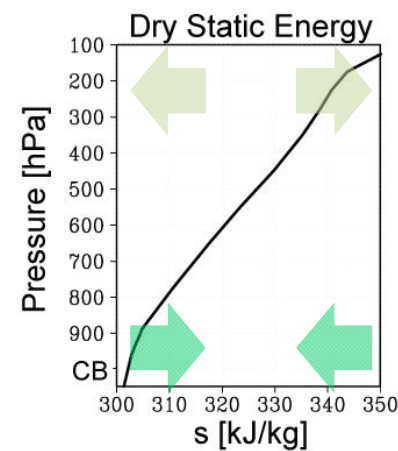
Masunaga (2013); Masunaga and L'Ecuyer (2014)

Estimation of : underlying concept



$$-\left\langle \bar{q} \frac{\partial \omega}{\partial p} \right\rangle_{FT}$$

Lower-troposphere
weighted convergence

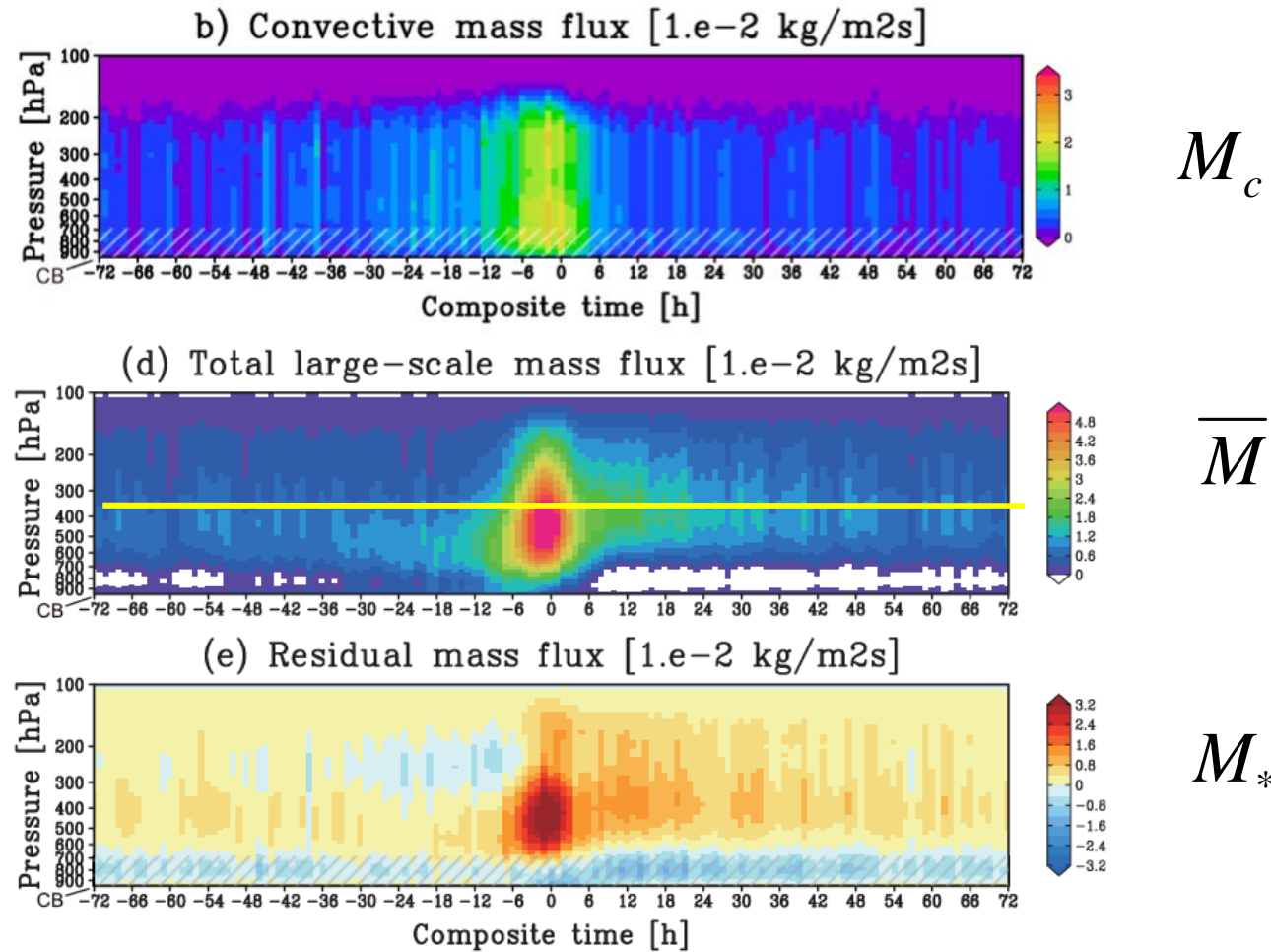


$$-\left\langle \bar{s} \frac{\partial \omega}{\partial p} \right\rangle_{FT}$$

Upper-troposphere
weighted convergence

Masunaga (2013); Masunaga and L'Ecuyer (2014)

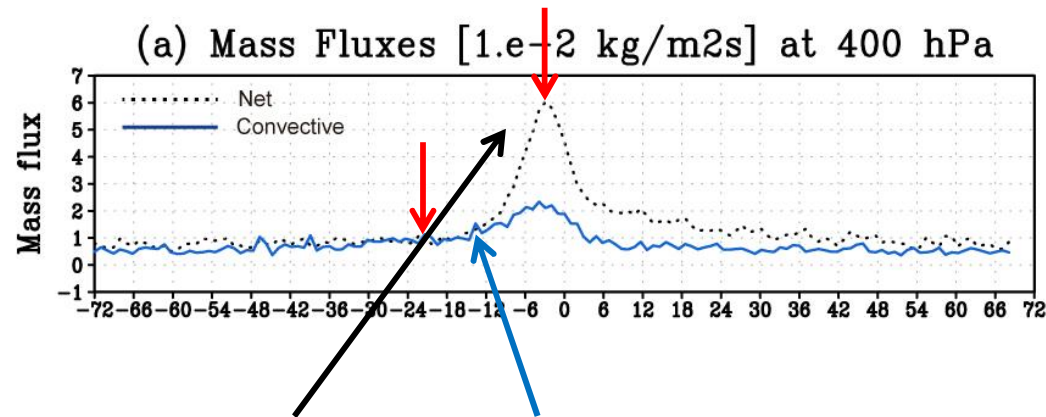
$$\overline{M} = M_c + M_R + M_* \quad , \text{ where } \overline{M_R} \frac{\partial \overline{s_a}}{\partial p} = \frac{\overline{Q_R}}{g}$$



Masunaga and Luo (2016)

Composite time series of mass fluxes

At 400 hPa



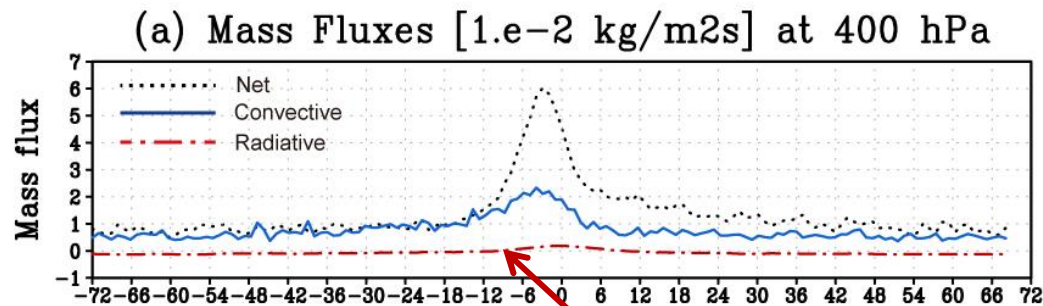
Mass budget:
$$\overline{M_0} \equiv \frac{\overline{\omega}}{g} = \overline{M_c} + \overline{M_R} + \overline{M_*},$$

$\overline{M_0} \approx \overline{M_c}$ before convection intensifies.

$\overline{M_0} < \overline{M_c}$ during the peak convection.

Composite time series of mass fluxes

At 400 hPa



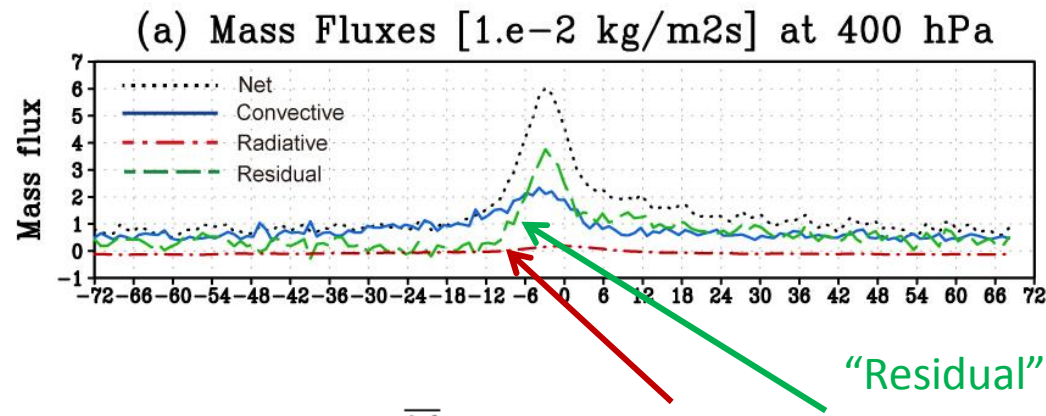
Mass budget: $\overline{M_0} \equiv \frac{\overline{\omega}}{g} = \overline{M_c} + \overline{M_R} + \overline{M_*},$

L-scale subsidence
balanced against
radiative cooling

$$\overline{M_R} \frac{\partial \overline{s_a}}{\partial p} = \frac{\overline{Q_R}}{g}$$

Composite time series of mass fluxes

At 400 hPa



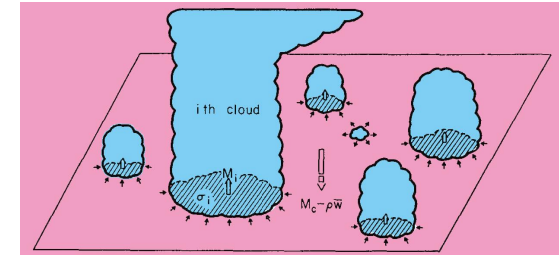
Mass budget: $\overline{M_0} \equiv \frac{\overline{\omega}}{g} = \overline{M_c} + \overline{M_R} + \overline{M_*},$

L-scale subsidence
balanced against
radiative cooling

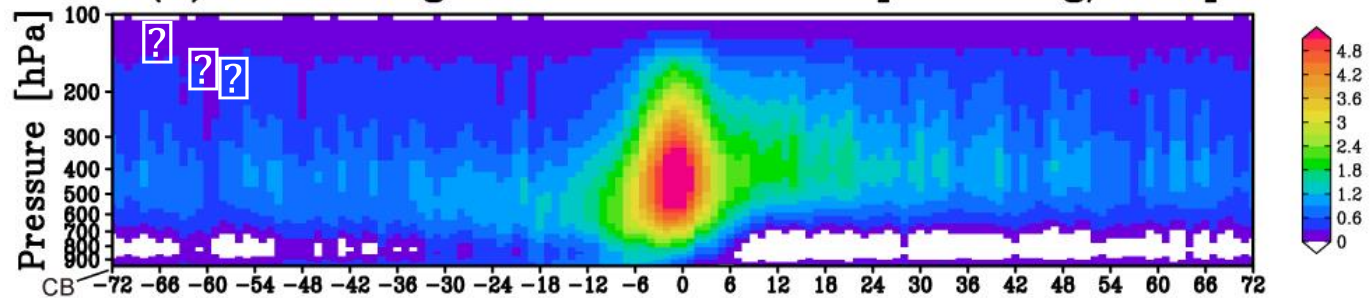
$$\overline{M_R} \frac{\partial \overline{s_a}}{\partial p} = \frac{\overline{Q_R}}{g}$$

The residual flux makes a significant contribution near $t \sim 0$.

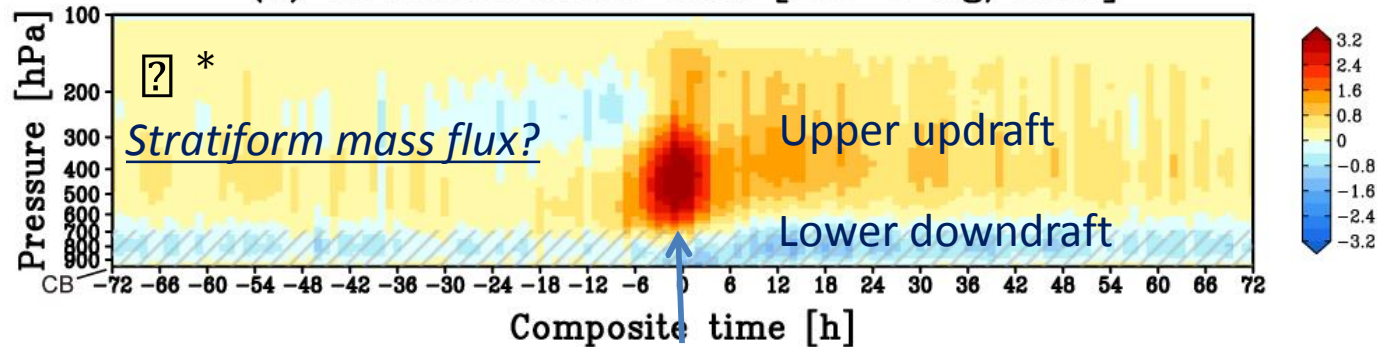
Composite time series of total & residual fluxes



(d) Total large-scale mass flux [$1.e-2 \text{ kg/m}^2\text{s}$]



(e) Residual mass flux [$1.e-2 \text{ kg/m}^2\text{s}$]



Enhanced updraft during organized convection

Summary

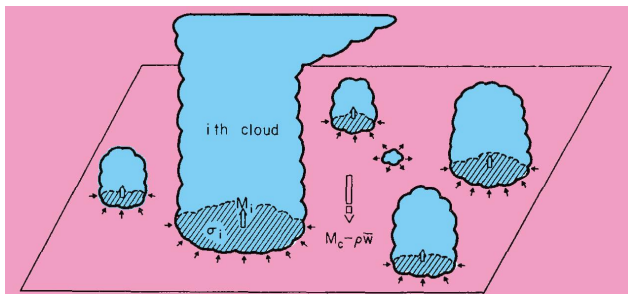
- A new satellite method for convective mass flux
 - ❑ A-Train observations provide the observational pieces (cloud-top w and B) for putting together the whole M_c “jigsaw puzzle”
 - ❑ A 1D cloud model provide candidate profiles of in-cloud w , narrowed down to the final solution via a Bayesian approach

Summary

- A new satellite method for convective mass flux
 - ❑ A-Train observations provide the observational pieces (cloud-top w and B) for putting together the whole M_c “jigsaw puzzle”
 - ❑ A 1D cloud model provide candidate profiles of in-cloud w , narrowed down to the final solution via a Bayesian approach
- Projecting the mass fluxes onto convective life cycle
 - ❑ Convective mass flux (M_c) is primarily controlled by the level of organization of the convective system (coverage of active cores)
 - ❑ M_c dominates the total mass flux only during the early hrs of the convective evolution before the system is well organized
 - ❑ A residual mass flux reminiscent of the stratiform dynamics builds up as the convection system matures up.

What next?

1. Improve the methodology: using a CRM instead of a 1D plume model.
2. Projecting upon an envelop of dynamic context: MJO, African Easterly Wave, etc ... This will be a powerful diagnostic tool for evaluating GCM cumulus parameterizations
3. Validation: we are desperately in need of a global capability of measuring convection vertical velocity profile. Without this, our fallback at this point is ground-based radar measurements (e.g., ARM).



Our objectives are to:

- 1) present a satellite-based estimation of these mass fluxes, and 2) project them onto a meaningful envelop of dynamic context

Backup slides



Satellite-Based Estimation of Convective and Large-Scale Mass Fluxes: A New Approach

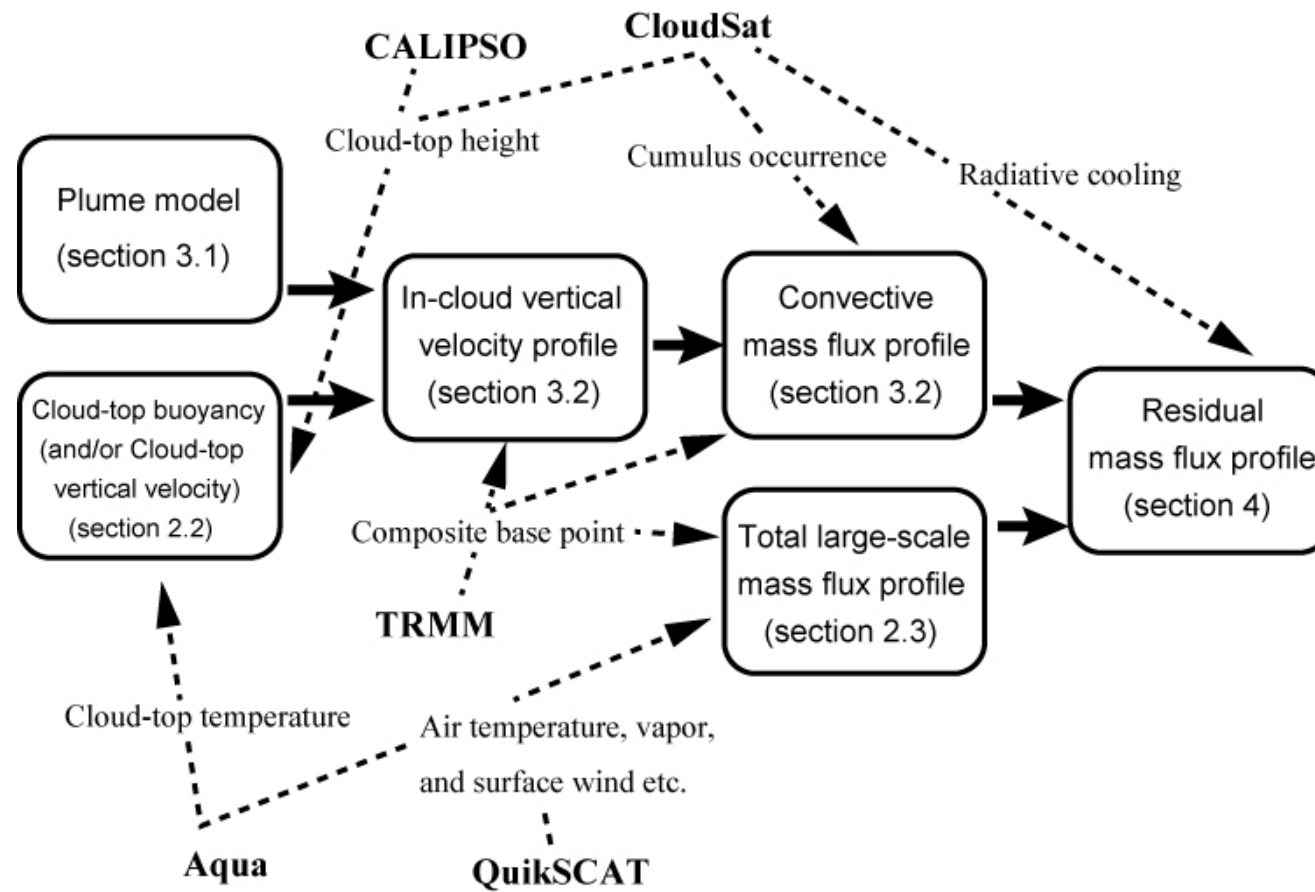
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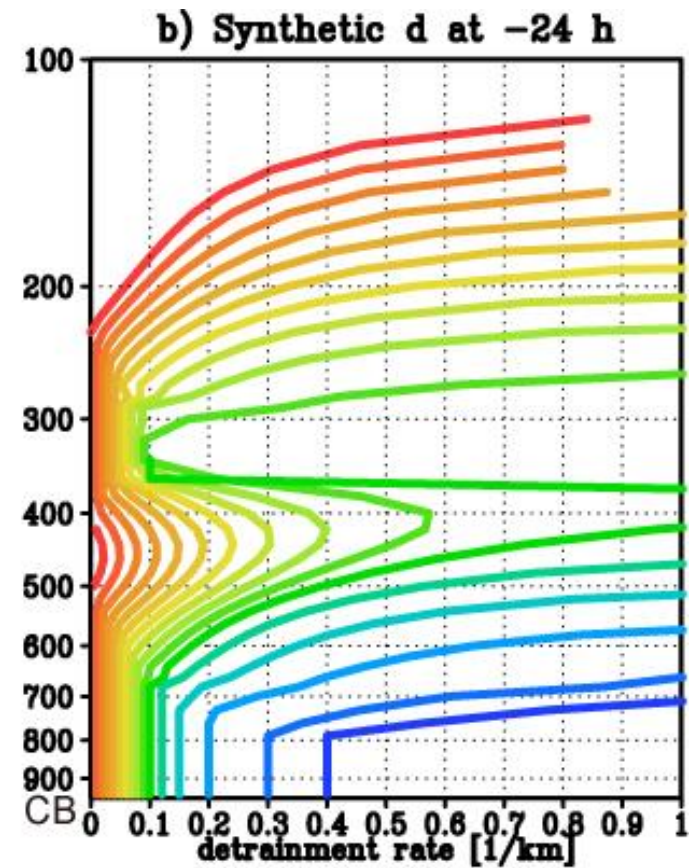
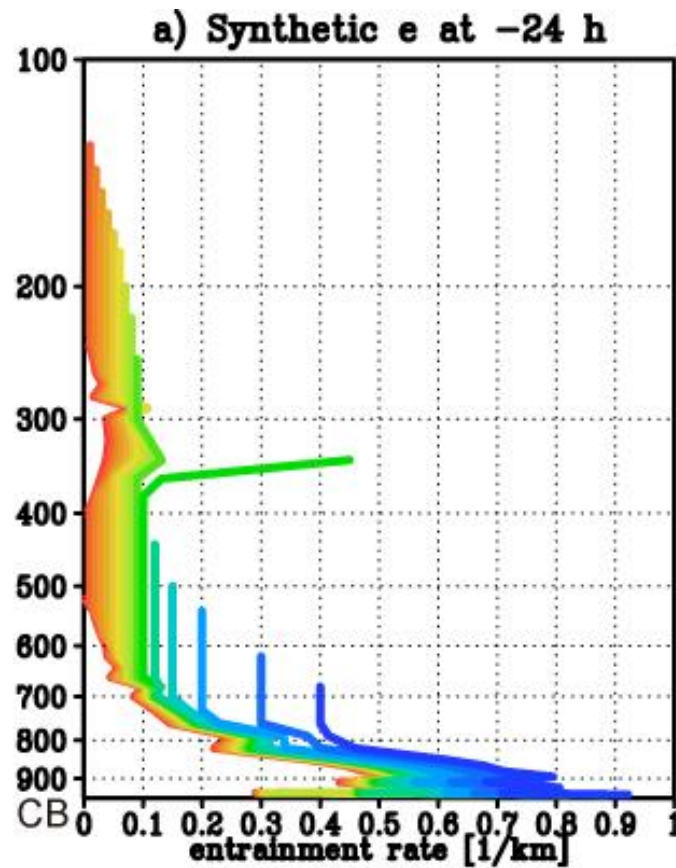
CCST Meeting, Newport News VA, March 1-3 2016

Flowchart



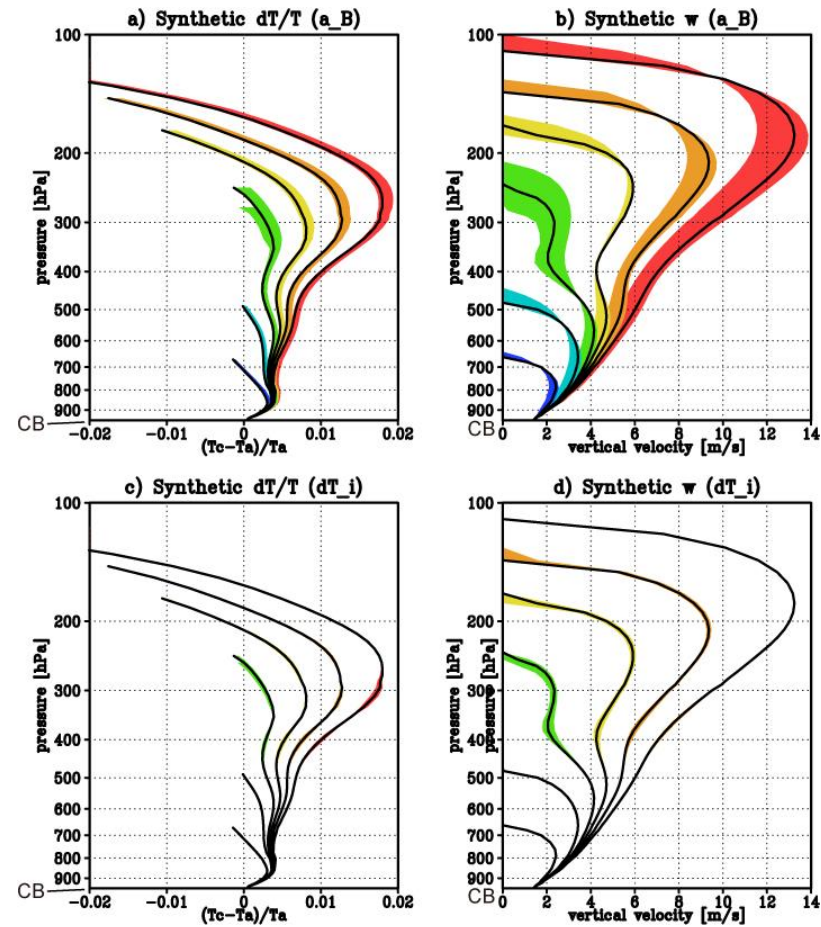
Entrainment and detrainment rates

” ε and δ from the plume model



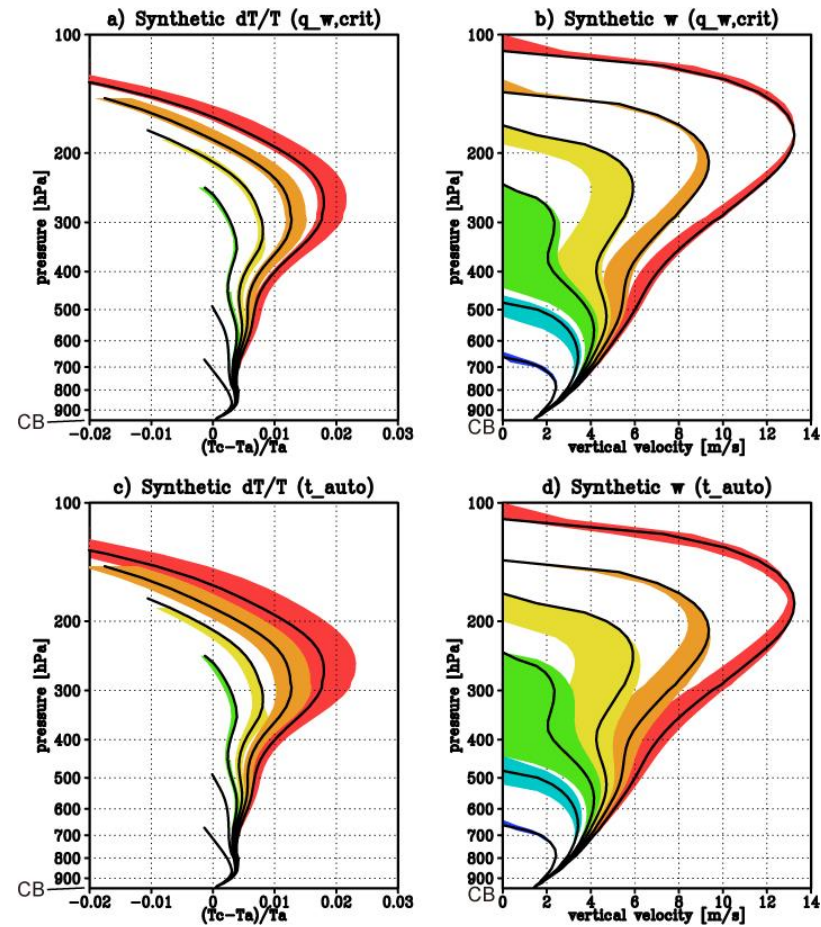
Error analysis: Single-column plume model

” Uncertainties associated with the plume model -1



Error analysis: Single-column plume model

” Uncertainties associated with the plume model -2



Error analysis: Composite time series

” Uncertainties in convective mass flux

